



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL



ISO 9001: 2008

VIL/SECRETARIAL/2015-2016

09th October 2015

BY EMAIL/REGISTERED POST/ FAX

National Stock Exchange of India Ltd,
Listing Department
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai – 400 051

Bombay Stock Exchange Ltd.,
Listing Department
Market Operations Dept.
P. J. Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir(s),

Ref: Clause 35 of Listing Agreement

Subject: Compliance with Clause 35 of the Listing Agreement

With reference to the above caption, we hereby submit the Shareholding Pattern for the September quarter ended on 30th September, 2015.

This is for your information and records.

Thanking You,

Yours Faithfully

For Vaswani Industries Limited, Ltd.



Ritu Lamba
Compliance Officer & Company Secretary

Partly paid-up shares	No. of Partly Paid-up Shares	% of Total No. of Shares of the Company
Held by Promoter and Promoter Group	0	0
Held by Public Shareholders	0	0
Outstanding Convertible Securities	No. of Outstanding Securities	% of Total No. of Shares of the Company (Assuming full conversion of Convertible Securities)
Held by Promoter and Promoter Group	0	0
Held by Public Shareholders	0	0
Warrants	No. of Warrants	% of Total no. of shares of the Company, assuming full conversion of warrants.
Held by Promoter and Promoter Group	0	0
Held by Public Shareholders	0	0
Partly paid up capital securities full conversion of warrants and convertible	0	0

Category of Shareholder	No. of Shareholders	Total No. of Shares	No. of Shares held in Promoter's hands	No. of Shares Participated in
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(1) Indian	5	16754700	16154700	0
Individual/Hindu Undivided Family	0	0	0	0
Central Government/State Government(s)	0	0	0	0
Bodies Corporate	0	0	0	0
Financial Institutions/Banks	0	0	0	0
Any Other (specify)	0	0	0	0
(2) Foreign	0	0	0	0
Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0
Bodies Corporate	0	0	0	0
Institutions	0	0	0	0
Qualified Foreign Investor	0	0	0	0
Any Other (specify)	0	0	0	0

Category of Shareholder	No. of Shareholders	Total No. of Shares	No. of Shares held in Promoter's hands	No. of Shares Participated in
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(1) Institutions	0	0	0	0
Mutual Funds/UTI	0	0	0	0
Financial Institution/Banks	0	0	0	0
Central Government/State Government(s)	0	0	0	0
Venture Capital Funds	0	0	0	0
Insurance Companies	0	0	0	0
Foreign Institutional Investors	0	0	0	0
Foreign Venture Capital Investors	0	0	0	0
Qualified Foreign Investor	0	0	0	0
Any Other (specify)	0	0	0	0
(2) Non-institutions	116	3575003	3575003	0
Bodies Corporate	3282	3294101	3234084	0
Individual Shareholders holding nominal share capital up to Rs. 1 lakh	96	5596787	5596787	0
Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh	0	0	0	0
Qualified Foreign Investor	0	0	0	0
Any Other (specify)	0	0	0	0
Non Resident Indians (Payee)	23	64572	64572	0
Non Resident Indians (Non Payee)	2	275	275	0
Clearing Member	33	34762	34762	0
TRUSTS	1	500	500	0

For **Veritas** and **Veritas** **Company Secretary**

(C1) Shares held by Promoter and Promoter Group
(C2) Public

Name of the Shareholder as per the names appearing in RTA/Depository/Custodial Account)	PAN of the Shareholder	Total No. of Shares Held	No. of Shares Pledged	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
PRAMOD VASWANI	ARXPM6236G	3969860	0	0	0	0	0	0	0
RAVI VASWANI	ABIPV7300E	3942300	0	0	0	0	0	0	0
VASHWANT VASWANI	ADPPV6276P	2190640	0	0	0	0	0	0	0
MANISHA VASWANI	ABIPV7301F	1922175	0	0	0	0	0	0	0
JUHI VASWANI	ABIPV7302F	2108300	0	0	0	0	0	0	0
SUDHA VASWANI	ABIPV7303F	1855225	0	0	0	0	0	0	0
RAVI VASWANI(HUF)	AAHRSS91N	105000	0	0	0	0	0	0	0
KRITIKA VASWANI	APAPV6941C	60000	0	0	0	0	0	0	0
SATISH VASWANI	ACDPV6910D	1000	0	0	0	0	0	0	0

Name of the Shareholder as per the names appearing in RTA/Depository/Custodial Account)	PAN of the Shareholder	Number of Shares	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
AMRITI SALES PROMOTION PVT LIMITED	AACCA3720D	1250000	0	0	0	0	0
NARENDRAKUMAR L. SHAH	AZZPS7613K	333520	0	0	0	0	0

NIL


For **FORWARD FINANCIAL SERVICES LTD.**
 Company Secretary

<u>Name of the Shareholder/as per the names appearing in RTA/Depositor/Custodial Account)</u>	<u>PAN of the Shareholder</u>	<u>Category Type(PR=Promoter and Promoter Group Shareholding, PU=Public Shareholding)</u>	<u>Number of Locked-in Shares</u>
JUHI VASWANI	ABJPV7302F	PR	545800
KRITIKA VASWANI	APAPV6941C	PR	55000
MANISHA VASWANI	ABJPV7301F	PR	349675
PRAMOD VASWANI	ABKPV6236G	PR	500000
RAVI VASWANI	ABJPV7300E	PR	539500
SUDHA VASWANI	ABJPV7303F	PR	634025
YASHWANT RAVI VASWANI	ADPPV6276P	PR	40000

<u>DR Type(ADR/GDR/SDR/Other s)</u>	<u>Number of Outstanding DRs</u>	<u>Number of Shares Underlying Outstanding DRs</u>
NIL		

<u>Name of the DR Holder/as per the names appearing in RTA/Depositor/Custodial Account)</u>	<u>Type of outstanding DR</u>	<u>No. of shares underlying outstanding DRs</u>
NIL		

<u>Reason Type</u>	<u>No of Security</u>	<u>Remark</u>
NIL		


 Company Secretary

